

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Baker et al.) Group Art Unit Unknown
Appl. No. : 10/017,253)
Filed : December 14, 2001)
For : SECRETED AND)
TRANSMEMBRANE)
POLYPEPTIDES AND NUCLEIC)
ACIDS ENCODING THE SAME)
Examiner : Unknown)

SEQUENCE SUBMISSION STATEMENT

United States Patent and Trademark Office
PO Box 2327
Arlington, VA 22202

Dear Sir:

This is in response to the Notice to File Corrected Application Papers for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, mailed October 15, 2002. I hereby state that the amendments, made in accordance with 37 C.F.R. § 1.825(a) and included in the Substitute Sequence Listing submitted herewith, are supported in the application, and that the Substitute Sequence Listing does not include new matter.

I further state that the information recorded in the currently submitted substitute copy of the computer-readable form of the Sequence Listing is identical to the paper form of the Sequence Listing submitted herewith as required in 37 C.F.R. § 1.825(b).

Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

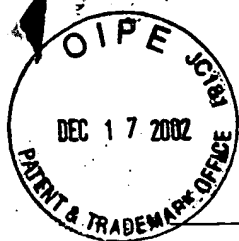
Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: December 12, 2002

By: 

Ginger R. Dreger
Registration No. 33,055
Attorney of Record
2040 Main Street, 14th Floor
Irvine, CA 92614
(415) 954-4114



326

PATENT

Case Docket No. GNE.2830P1C62

Date: December 12, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Baker et al.
App. No. : 10/017,253 ✓
Filed : December 14, 2001
For : SECRETED AND
TRANSMEMBRANE
POLYPEPTIDES AND
NUCLEIC ACIDS ENCODING
THE SAME

Group Art Unit : Unknown

) I hereby certify that this correspondence and all
) marked attachments are being deposited with the
) United States Postal Service as first class mail in
) an envelope addressed to: United States Patent
) and Trademark Office, P.O. Box 2327, Arlington,
) VA 22202, on

December 12, 2002

(Date)

Ginger R. Drager, Reg. No. 33,655

TRANSMITTAL LETTER

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

Dear Sir:

In response to the Notice to File Corrected Application Papers which was mailed by the Office on October 15, 2002, enclosed are:

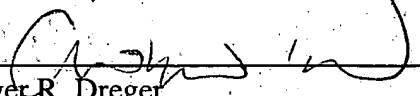
- (X) Sequence Submission Statement
- (X) Substitute Sequence Listing in 405 pages
- (X) Substitute Sequence Listing in C.R.F.
- (X) Substitute Specification in 527 pages
- (X) Replacement Drawings in 249 pages
- (X) Copy of Notice to File Corrected Application Papers
- (X) Return prepaid postcard.

PATENT

Case Docket No. GNE.2830P1C62

Date: December 12, 2002

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- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

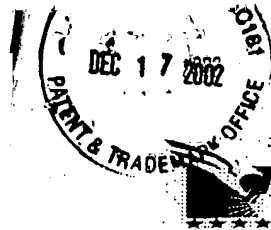

Ginger R. Dreger

Registration No. 33,055

Attorney of Record

Customer No. 20,995

(415) 954-4114



UNITED STATES
PATENT AND
TRADEMARK OFFICE

Commissioner for Patents
Washington, DC 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/017,253	12/14/2001	Kevin P. Baker	P2830P1C62

30313
KNOBBE, MARTENS, OLSON & BEAR, LLP
2040 MAIN STREET
FOURTEENTH FLOOR
IRVINE, CA 92614

CONFIRMATION NO. 9691

FORMALITIES LETTER



OC000000008953038

Date Mailed: 10/15/2002

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

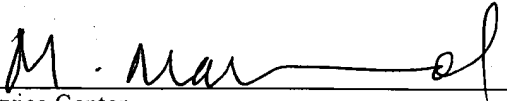
The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52, 1.121(b)(3), and 1.125, is required. The specification, claims, or abstract page(s) submitted is not acceptable and cannot be scanned or properly stored because:
 - The specification, claims or abstract page(s) 130-136, 138-145, 148-152, 155-156, 160, 164, 166, 171, 181-182, 185-315, 319, 321, 323-325, 398-443, 519-526 contain improper margins *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch). See Figures(s) 1-12, 14-17, 19.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE